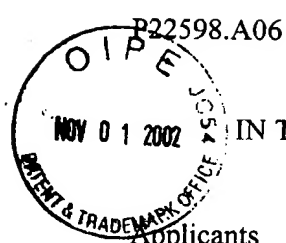


SEPT REF  
#307  
#191 reg for  
Refund  
01/30/03



P22598.A06

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Konstanze SAATHOFF et al.  
Appln. No : 09/554,343  
Filed : December 6, 2000  
For : MOTOR-DRIVEN SCREW DRIVER

Attn: Box 16  
REFUND SECTION

**REQUEST FOR REFUND**

Commissioner of Patents and Trademarks  
Washington, DC 20231

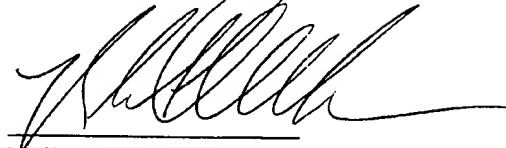
Sir :

Applicants respectfully request a refund in the amount of \$160.00 of the \$320.00 paid on October 29, 2002 in connection with the filing of the Appeal Brief and \$215.00 of the \$430.00 paid on August 29, 2002 in connection with the filing of the Notice of Appeal for the above-identified application. The basis of this Request for Refund is the fact that a Statement Concerning Small Entity Status was filed on December 6, 2000 ( a copy of which is enclosed), and therefore Applicants are entitled to a refund.

Please make payment of the refund to Deposit Account No. 19-0089.

P22598.A06

Respectfully submitted,  
Konstanze SAATHOFF et al.

A handwritten signature in dark ink, appearing to read 'Neil F. Greenblum', written over a horizontal line.

Neil F. Greenblum

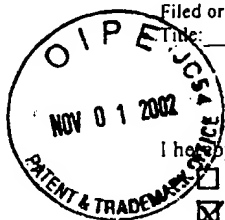
Reg. No. 28,394

Handwritten initials or a number, possibly 'K 3583', written in dark ink.

October 31, 2002  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

Applicant or Patentee: Konrad SAATHOFF and Achim LÜBBERING  
Serial or Patent No.: 09/55 3  
Filed or Issued: May 12, 2000  
Title: MOTOR-DRIVEN SCREW DRIVER

Attorney's  
Docket No.: HHI-023US



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN JOHANNES LÜBBERING AG

ADDRESS OF SMALL BUSINESS CONCERN Haldenstrasse 1  
CH-6340 Baar, SWITZERLAND

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12. and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

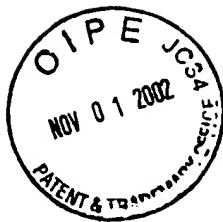
NAME OF PERSON SIGNING VOLLAND Reto C.

TITLE OF PERSON OTHER THAN OWNER Chairman

ADDRESS OF PERSON SIGNING Rüschstrasse 12 ; CH-8132 Egg Switzerland

SIGNATURE [Signature] DATE 10/7/2000

**COPY**



DEPT. OF COM.  
1204 307  
PATENT  
3313-0377P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: HUANG, Chiung-Yao  
Appl. No.: 09/951,212  
Filed: September 11, 2001  
For: ROTATIONAL KINETIC ENERGY GENERATOR  
Conf.: 2791  
Group: 2834  
Examiner: G. PEREZ

November 1, 2002

Assistant Commissioner for Patents  
Washington, DC 20231

ATTN: W.P. ERWIN, DIRECTOR OF FINANCE  
REFUND SECTION  
ACCOUNTING DIVISION  
OFFICE OF FINANCE

REQUEST FOR REFUND  
(Improper Charge of Deposit Account)

I. REFUND REQUEST

This is a request for a refund with respect to the charge to Deposit Account 02-2448 dated June 10, 2002 for the above-identified

- ☒ application  
☐ patent  
☒ A copy of the monthly statement in which the error referred to or accompanies this request.

## II. FEES CHARGED FOR WHICH REFUND REQUESTED

	AMOUNT OF REFUND REQUESTED
<input type="checkbox"/> filing fee	_____
<input type="checkbox"/> surcharge for filing the basic filing on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	_____
and/or	
<input type="checkbox"/> surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	_____
<input type="checkbox"/> extension of term	
<input type="checkbox"/> first month	_____
<input type="checkbox"/> second month	_____
<input type="checkbox"/> third month	_____
<input type="checkbox"/> fourth month	_____
<input type="checkbox"/> excess claims	_____
<input type="checkbox"/> issue fee	_____
<input checked="" type="checkbox"/> petition fee	<u>130.00</u>
<input type="checkbox"/> patent maintenance fee	
<input type="checkbox"/> first maintenance fee	_____
<input type="checkbox"/> second maintenance fee	_____
<input type="checkbox"/> third maintenance fee	_____
<input type="checkbox"/> patent maintenance fee surcharge	_____
<input type="checkbox"/> Other: _____	_____
_____	_____
TOTAL REFUND REQUESTED	<u><u>\$130.00</u></u>

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

A Petition Requesting That Response Period Be Restarted under MPEP § 710.06 Because of a Postmark Date Later than the Mail Date Printed on a PTO Action was filed on May 30, 2002. A Decision granting this Petition was mailed by the U.S. Patent and Trademark Office on July 15, 2002.

It is noted that the Petition was filed due to an error made on the part of the U.S. Patent and Trademark Office. A copy of the Decision on Petition, which confirms that the error was made by the U.S. Patent and Trademark Office, is attached. Accordingly, no fee should be due.

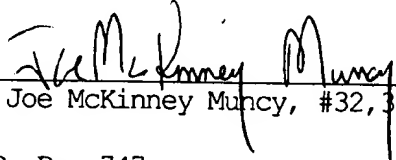
IV. MANNER OF REFUND

Please make refund by crediting Account No. 02-2448.

We respectfully request that the attached copy of this letter be returned to us with an indication that the credit has been processed.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
Joe McKinney Muncy, #32,334

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

KM/asc  
3313-0377P

Attachment

☐ PTO Logo
**Deposit Account Statement**
☐

**Requested Statement Month:** June 2002  
**Deposit Account Number:** 022448  
**Name:** BIRCH STEWART KOLASCH & BIRCH  
**Attention:**  
**Address:** 8110 GATEHOUSE ROAD  
**City:** FALLS CHURCH  
**State:** VA  
**Zip:** 22042

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
06/03	1	09914349	0425-0850P	117	\$920.00	\$37,087.13
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06/03	285	849657		569	\$25.00	\$37,087.13
06/03	286	849659		466	\$15.00	\$37,072.13
06/04	23	10067202	0020-4956P	104	\$280.00	\$36,792.13
06/05	13	10024298	1254-0191P	102	\$588.00	\$36,204.13
06/05	14	10024298	1254-0191P	104	\$280.00	\$35,924.13
06/05	15	10024298	1254-0191P	103	\$324.00	\$35,600.13
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06/06	1	09678094	3615-114P	148	\$110.00	\$35,425.13
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06/10	5	09951212	3313-0377P-S	122	\$130.00	\$34,371.13
06/10	29	852311		569	\$25.00	\$34,346.13
06/10	31	09522615	21858-0415P-	179	\$740.00	\$33,606.13
06/10	123	09486365		704	-\$20.00	\$33,626.13
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06/11	100	09623910	0179-0164P	581	\$40.00	\$33,526.13
06/11	234	853388		567	\$30.00	\$33,496.13
06/12	36	76181575		361	\$325.00	\$33,171.13
06/12	37	76181619	0174-0167T	361	\$325.00	\$32,846.13
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06/12	44	09959097		704	-\$130.00	\$33,030.13
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06/12	90	853666		566	\$15.00	\$33,000.13
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06/13	53	10139323	0425-0914P	104	\$280.00	\$33,460.13
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06/14 81	10106192	0234-0450 P	105	\$130.00	\$32,460.13
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06/21 114	858351		567	\$60.00	\$28,557.13
06/21 219	858574		567	\$30.00	\$28,527.13
06/21 269	09807469	0104-0323P	968	\$10.00	\$28,517.13
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06/24 217	09601455		704	-\$60.00	\$28,595.13
06/25 2	90006201		704	-\$2,520.00	\$31,115.13
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06/26 16	09961446	1163-0364P	581	\$40.00	\$30,949.13
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06/27 11	10129364	0104-0392P	960	\$150.00	\$30,689.13
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06/28 6	6143550		704	-\$130.00	\$30,418.13
06/28 7	6143550		704	-\$100.00	\$30,518.13

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$38,007.13	\$13,148.00	\$5,659.00	\$30,518.13



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